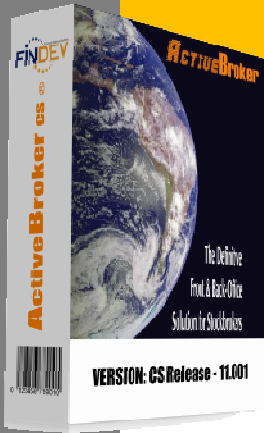


FUNCTIONALITY SET



FEATURES

- Equities
- Signature Management System
- Document Management System
- Comms Centre – Web/SMS/Email
- Auto trade and order confirmations
- Detailed MIS reporting
- Cheque printing
- Deal calculators
- 100% compatible with MS products

ENVIRONMENT

- Fast Client/Server Architecture
- Uses any common database
- Multi-User
- Multi-Lingual
- PC Based architecture
- Redundant, Reliable Processing

FRONT OFFICE

- Order capture
- Agent management
- Matching for non-ATS environments
- Contract/Deal Note generation
- Transfer forms management
- Deal calculators
- Price history and charts
- Reporting

BACK OFFICE

- Counterparty management
- Full cash management
- Full scrip management
- User configurable fee tables
- Corporate Events(DFR Qtr 1 2010)
- Agent Management
- Agents Fees & Commissions
- Trade confirmation Interfaces

ACCOUNTING

- Local sub-ledger
- Accounting Interface to 3rd party sys
- Bank reconciliation
- Debtors management
- Cash management
- Receipts & Payments
- Centralised accounting

RISK MANAGEMENT

- Limits at Trader and entity levels
- Multi-Level Authorisation
- Queue based Work-Flow Controls
- Cross-check of client scrip/cash bal
- 128 bit Data Encryption
- Audit “snap” tab on every screen
- Multi-level authorisation
- Automated DR Features
- Centralised Authorisation

SECURITY & AUDIT

- “Was-now” audit trails
- User “Role” assignment
- Multi-level security profiles
- Username & password login
- 128 bit data encryption
- Audit “snap” tab on every screen
- Multi-level authorisation

INTERFACES

- Generic Interface Generator →
- CSD interface
 - ATS interface
 - Email & Fax
 - 3rd party accounting system
 - Export to MS Office (tm) products
 - Data migration

WEB PORTAL

- Customer details amendment
- Order Placement
- Order Status
- Cash Statement
- Scrip Statement
- Price tables and charts

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Active Broker

STANDARD EDITION